



ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

RELEVANT TRAININGS OF COMPLIANCE OFFICER

This is to certify that I have received trainings on the subjects listed below. The trainings have provided me adequate opportunity to ask questions and practice procedures to determine and correct skill deficiencies.

Date of Orientation/ Training/Webinar	Topic	Host/Sponsor
6 Sessions from September to October 2021	Agility Training	Etiqa Life and General Assurance Malaysia (Kuala Lumpur)
11-14 October 2021	SIBOS Conference 2021	SIBOS
14 October 2021	Third-Party GRC Management: Federated Governance of the Extended Enterprise	The GRC
21 October 2021	Building Safer Pathways for Cloud Migration and Storage	World Forums
23 October 2021	AMLA Updates	Maybank Philippines
26 October 2021	Understanding the Journey to Policy Management Maturity	The GRC
28 October 2021	Aligning Risk & Performance Management	World Forums
October 2021	FinCrime Global Event	Data Protection World Forum
6 Sessions November 2021	Scrum Brown Bag Workshop	Etiqa Life and General Assurance Philippines
18 August 2021	Data Protection World Forum	Work Cast Events
3 November 2021	Accountability & Compliance in the Modern Enterprise	The GRC
4 November 2021	"The Future of Anti-Financial Crime? Enhancing Effectiveness with the Investigator-Centric Approach"	World Forums
12 November 2021	AML: Talking typologies and the role of artificial intelligence	RT Associates & Napier
30 November 2021	Getting to Know Your Customer with the Power of Entities and Networks	Quantexa, EY and Bureau van Dijk, a Moody's Analytics company
28 January 2022	Processing of personal data: How to keep it safe	EU GDPR
16 February 2022	Why all companies should explore the ethics of technology	Privsec Global
25 February 2022	Will Your Business Survive China's Increasingly Tough Digital Regulations?	Privsec Global
28 February 2022	You Don't Want to Miss This: Government Keynote and International Transfers	One Trust Privacy, Security & Governance
29 March 2022	How to foster inclusion: The intersection of DEI and E&C	LRN Webinars
29 March 2022	Data Subject Rights under the EU GDPR	EU GDPR



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31 March 2022	Tackling Unstructured Data Challenges	One Trust Privacy, Security & Governance
5-6 April 2022	Crypto, eCommerce, Compliance programmes and more on agenda for FinCrime Global	FINCRIME Global
26 April 2022	PrivSec Focus: Third-Party Risk	Privsec
12 May 2022	Transaction Monitoring: The Next Generation	Napier
25 May 2022	PrivSec Focus: GDPR Four Years On	Privsec Global
25-26 May 2022	National Data Privacy Conference	National Privacy Commission
15 June 2022	AMLC Registration and Reporting Guidelines	Anti-Money Laundering Council
16 June 2022	Fast Track: Digital Banking Trends in the Post-Pandemic Era	EXIST

CERTIFIED BY:

Atty. Roselle P. Perez-Bariuan

Compliance Officer

16 June 2022