

Electronic Records Management Division

STOCK CORPORATION

JUN 19 2020

ICTD

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

PLEASE PRINT LEGIBLY

**ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.** (formerly **ASIANLIFE AND GENERAL ASSURANCE CORP.**)

10/4/2010

ETIQA PHILIPPINES, ETIQA PHILS., ETIOA, ELGAP, ELGAPI

FISCAL YEAR END:

CS201015592

December 31

**Third Tuesday of March**CORPORATE TAX IDENTIFICATION  
NUMBER (TIN)

007-884-672

21-May-20

WEBSITE/URL ADDRESS:

[www.etiga.com.ph](http://www.etiga.com.ph)

**3/F Morning Star Center, #347 Sen. Gil Puyat Avenue, Makati City**

E-MAIL ADDRESS:

[rmhebron@etiga.com.ph](mailto:rmhebron@etiga.com.ph)

**3/F Morning Star Center, #347 Sen. Gil Puyat Avenue, Makati City**

FAX NUMBER:

8895-8519

NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:

SEC ACCREDITATION NUMBER (if applicable):

TELEPHONE NUMBER(S):

**SYCIP GORRES VELAYO & CO.**

0012-FR-3

8890-1758 loc 205

**PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:**

**To carry on the business of insurance upon the lives of individuals, and to carry on the business of non-life insurance.**

**INDUSTRY CLASSIFICATION:**

LIFE AND NON-LIFE INSURANCE

GEOGRAPHICAL CODE:

## INTERCOMPANY AFFILIATIONS

SEC REGISTRATION NO.

ADDRESS

**Etiga International Holdings Sdn. Bhd.**

N.A.

Level 22, Tower C, Dataran Maybank, No. 1, Jalan Maarof  
59000 Kuala Lumpur, Malaysia

SUBSIDIARY/AFFILIATE

SEC REGISTRATION NO.

ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY



## GENERAL INFORMATION SHEET

## STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)** ☒ Yes ☐ No

Please check the appropriate box:

1.

- ☐ a. Banks  
☐ b. Offshore Banking Units  
☐ c. Quasi-Banks  
☐ d. Trust Entities  
☐ e. Non-Stock Savings and Loan Associations  
☐ f. Pawnshops  
☐ g. Foreign Exchange Dealers  
☐ h. Money Changers  
☐ i. Remittance Agents  
☐ j. Electronic Money Issuers  
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☒ a. Insurance Companies  
☐ b. Insurance Agents  
☐ c. Insurance Brokers  
☐ d. Professional Reinsurers  
☐ e. Reinsurance Brokers  
☐ f. Holding Companies  
☐ g. Holding Company Systems  
☐ h. Pre-need Companies  
☐ i. Mutual Benefit Association  
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers  
☐ b. Securities Brokers  
☐ c. Securities Salesman  
☐ d. Investment Houses  
☐ e. Investment Agents and Consultants  
☐ f. Trading Advisors  
☐ g. Other entities managing Securities or rendering similar services  
☐ h. Mutual Funds or Open-end Investment Companies  
☐ i. Close-end Investment Companies  
☐ j. Common Trust Funds or Issuers and other similar entities  
☐ k. Transfer Companies and other similar entities  
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on  
☐ m. Entities administering or otherwise dealing in valuable objects  
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons  
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  
☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets  
☐ b. management of bank, savings or securities accounts  
☐ c. organization of contributions for the creation, operation or management of companies  
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the above

Describe nature of business:

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☒ Yes ☐ No



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	124,499,404	10.00	1,244,994,040.00
	PREFERRED	50,059,600	0.10	5,005,960.00
<b>TOTAL</b>		<b>174,559,004</b>	<b>TOTAL P</b>	<b>1,250,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	9	COMMON	52,672,086		10.00	526,720,860.00	31.69%
<b>TOTAL</b>			<b>52,672,086</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>526,720,860.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	3	COMMON	63,499,999		10.00	634,999,990.00	68.31%
		PREFERRED	50,059,600		0.10	5,005,960.00	

<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>113,559,599</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>640,005,950.00</b>
						<b>TOTAL SUBSCRIBED P</b>	<b>1,166,726,810.00</b>

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	9	COMMON	52,672,086	10.00	526,720,860.00	31.69%
<b>TOTAL</b>			<b>52,672,086</b>	<b>TOTAL P</b>	<b>526,720,860.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
Malaysian	3	COMMON	63,499,999	10.00	634,999,990.00	68.31%
		PREFERRED	50,059,600	0.10	5,005,960.00	
<b>TOTAL</b>			<b>113,559,599</b>	<b>TOTAL P</b>	<b>640,005,950.00</b>	
					<b>TOTAL PAID-UP P</b>	<b>1,166,726,810.00</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.



# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Kamaludin Bin Ahmad No. 27, Jalan Suasana 5/3, Bandar Tun Hussein Onn, Batu 9, Cheras, Selangor, Malaysia	Malaysian	N	C	M	Y	Chairman		438-339-500
2. Lee Hin Sze No. 27, Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia	Malaysian	N	M	M	Y	Vice-Chairman	A/M N/M C/M RPT/M	702-464-981
3. Manuel N. Tordesillas 30-A Luna Gardens, Residential Road, Rockwell Center, Makati City	Filipino	Y	M	M	Y	N/A		178-610-428
4. Eulogio A. Mendoza No. 33 Dona Ines St., Alabang Hills, Muntinlupa City	Filipino	Y	M	M	Y	N/A		129-544-622
5. Rico T. Bautista No. 15 Via Milano St., Villa Firenze Subd., Culiati, Tandang Sora, Quezon City	Filipino	N	M	M	Y	President/C EO		173-886-802
6. Ma. Victoria C. Viñas No. 7 Cauli Flower St., Valle Verde 5, Pasig City	Filipino	N	I	F	Y	N/A	A/C N/M C/M RPT/M	119-198-100
7. Ricardo Nicanor N. Jacinto No. 30 Leo Street, Bel-Air Village, Makati City	Filipino	N	I	M	Y	N/A	A/M N/C C/C RPT/C	107-042-629
8. James Patrick Q. Bonus 1601 Tower D, Jazz Residences, Brgy. Bel-Air, Makati City	Filipino	N	N	M	N	SVP, Treasurer & CFO		234-419-030
9. Raul M. Hebron No. 24 Zarotoga Loop St., Alabang 400 Village, Muntinlupa City	Filipino	N	N	M	N	SVP, Corporate Secretary		123-381-610
10. John Joseph S. Tamares No. 47C Matatag St., Brgy. Pinyahan, Diliman, Quezon City	Filipino	N	N	M	N	Assistant Corporate Secretary		294-977-910
11. Glenn Warren B. Navea No. 10 Pine St., Southview Homes, San Pedro City, Laguna	Filipino	N	N	M	N	EVP		108-672-926
12. Diana Binti Mohamad Unit 215A, Palm Tower, One Serendra Condominium, 11 Avenue 30th St., Taguig City	Malaysian	N	N	F	N	EVP		487-712-499
13. Roman A. Doydora, Jr. No. 108 Anilao Bldg., Bonifacio Heights, Lawton Avenue, Taguig City	Filipino	N	N	M	N	SVP		100-890-720
14. Rogelio M. Sotelo No. 30 Buenaventura St., Bagong Ilog, Pasig City	Filipino	N	N	M	N	SVP		103-376-927

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



## PLEASE PRINT LEGIBLY

**ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.**[illegible]

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b>		ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		12		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		3
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>				PhP5,136,959,191		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 Maybank ATR Kim Eng Capital Partners, Inc. Filipino 17th Flr., Tower One & Exchange Plaza, Ayala Triangle, Ayala Ave., Makati City	Common	47,142,286	471,422,860.00	28.36%	471,422,860.00	000-166-719
	<b>TOTAL</b>	<b>47,142,286</b>	<b>471,422,860.00</b>			
2. Manuel N. Tordesillas Filipino No. 30-A Luna Gardens, Residential Road, Rockwell Center, Makati City	Common	1	10.00	0.00%	10.00	178-610-428
	<b>TOTAL</b>	<b>1</b>	<b>10.00</b>			
3. Eulogio A. Mendoza Filipino No. 33 Dona Ines St., Alabang Hills, Muntinlupa City	Common	1	10.00	0.00%	10.00	129-544-622
	<b>TOTAL</b>	<b>1</b>	<b>10.00</b>			
4. Augusto Z. Fajardo Filipino No. 5 Dainty Street, White Plains Subd., Quezon City	Common	2	20.00	0.00%	20.00	135-818-928
	<b>TOTAL</b>	<b>2</b>	<b>20.00</b>			
5. Ma. Victoria C. Viñas Filipino No. 7 Cauli Flower St., Valle Verde 5, Pasig City	Common	1	10.00	0.00%	10.00	119-198-100
	<b>TOTAL</b>	<b>1</b>	<b>10.00</b>			
6. E-Marc Consultants, Inc. Filipino No. 33 Dona Ines St., Alabang Hills, Muntinlupa City	Common	5,529,792	55,297,920.00	3.33%	55,297,920.00	213-358-868
	<b>TOTAL</b>	<b>5,529,792</b>	<b>55,297,920.00</b>			
7. Joven D. Reyes Filipino No. 1101 One Salcedo Place, Velasquez St., Salcedo Village, Makati city	Common	1	10.00	0.00%	10.00	107-171-806
	<b>TOTAL</b>	<b>1</b>	<b>10.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

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<b>CORPORATE NAME:</b>							ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.								
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				12				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>				3			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>				PhP5,136,959,191											
STOCKHOLDER'S INFORMATION															
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS		SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER								
		TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP										
8. Rico T. Bautista Filipino No. 15 Via Milano St., Villa Firenze Subd., Culiati, Tandang Sora, Quezon City		Common	1	10.00	0.00%	10.00	173-886-902								
		<b>TOTAL</b>	1	10.00											
9. Etiqa International Holdings Sdn. Bhd. Malaysian Level 22, Tower C, Dataran Maybank, No. 1, Jalan Maarof 59000 Kuala Lumpur, Malaysia		Common	63,499,997	634,999,970.00	68.31%	640,005,930.00	005-037-588								
		Preferred	50,059,600	5,005,960.00											
		<b>TOTAL</b>	113,559,597	640,005,930.00											
10. Kamaludin Bin Ahmad Malaysian No. 27 Jalan Suasana 5/3 Bandar Tun Hussein Onn, Batu 9, Cheras 43200, Selangor, Malaysia		Common	1	10.00	0.00%	10.00	438-339-500								
		<b>TOTAL</b>	1	10.00											
11. Lee Hin Sze Malaysian No. 27 Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia		Common	1	10.00	0.00%	10.00	702-464-981								
		<b>TOTAL</b>	1	10.00											
12. Ricardo Nicanor N. Jacinto Filipino No. 30 Leo Street, Bel-Air Village, Makati City		Common	1	10.00	0.00%	10.00	107-042-629								
		<b>TOTAL</b>	1	10.00											
13.															
		<b>TOTAL</b>													
14.															
		<b>TOTAL</b>													
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				1,166,726,810.00		<b>100.00%</b>									
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						1,166,726,810.00									
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS															
<b>Note:</b> For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.															

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

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<b>CORPORATE NAME:</b>							ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.		
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		12			<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		3		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		PhP5,136,959,191							
STOCKHOLDER'S INFORMATION									
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER			
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP					
15.									
	<b>TOTAL</b>								
16.									
	<b>TOTAL</b>								
17.									
	<b>TOTAL</b>								
18.									
	<b>TOTAL</b>								
19.									
	<b>TOTAL</b>								
20.									
	<b>TOTAL</b>								
21. OTHERS (Indicate the number of the remaining stockholders)									
	<b>TOTAL</b>								
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				0.00%	<b>0.00</b>				
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>				0.00%	<b>0.00</b>				
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS									
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.									



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:**

**ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.**

<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>		<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>
<b>1.1 STOCKS</b>		4,462,769.00	
<b>1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)</b>		351,826,144.00	
<b>1.3 LOANS/ CREDITS/ ADVANCES</b>		0.00	
<b>1.4 GOVERNMENT TREASURY BILLS</b>		1,244,538,656.00	
<b>1.5 OTHERS</b>		251,229,545.00	
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
<b>3. TREASURY SHARES</b>		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: 738,402,755.00</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>		<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>
<b>5.1 CASH</b>			
<b>5.2 STOCK</b>			
<b>5.3 PROPERTY</b>			
<b>TOTAL</b>		<b>P</b>	
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>BSP</b>	<b>IC</b>
<b>TYPE OF LICENSE/REGN.</b>			<b>Certificate of Authority</b>
<b>DATE ISSUED:</b>			<b>8/9/2019</b>
<b>DATE STARTED OPERATIONS:</b>			<b>8/22/1958</b>
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
1,855,000.00	101	219	320

NOTE: USE ADDITIONAL SHEET IF NECESSARY



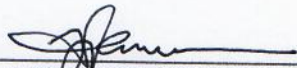
I, **JOHN JOSEPH S. TAMARES**, Assistant Corporate Secretary of **ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232 ).

**JUN 1 8 2020**  
Done this \_\_\_\_ day of June, 2020 in Makati City, Metro Manila, Philippines.

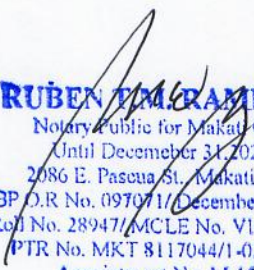
  
**ATTY. JOHN JOSEPH S. TAMARES**  
Assistant Corporate Secretary

**JUN 1 8 2020**

**SUBSCRIBED AND SWORN TO** before me in Makati City on \_\_\_\_ June 2020 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Driver's License with No. N02-19-002261 issued in Metro Manila on 16 January 2019.

NOTARY PUBLIC

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Book No. 37  
Series of 2020.

  
**RUBEN M. RAMIREZ**  
Notary Public for Makati City  
Until December 31, 2021  
2086 E. Paseo St., Makati City  
IBP O.R. No. 097071/December 10, 2019  
Rol No. 28947/MCLE No. VI-0020246  
PTR No. MKT 8117044/1-02-2020  
Appointment No. M-158



# BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

CS201015592

CORPORATE NAME:

ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

## Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

## Category

## Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Kamaludin Bin Ahmad	No. 27 Jalan Suasana 5/3 Bandar Tun Hussein Onn, Batu 9, Cheras 43200, Selangor, Malaysia	Malaysian	08/19/1967	438-339-500	0.000000601%	N.A.	I, Director, Chairman of the Board
Lee Hin Sze	No. 27, Jalan SS4C/7, 47301 Petaling Jaya, Selangor Darul Ehsan, Malaysia	Malaysian	01/18/1970	702-464-981	0.000000601%	N.A.	I, Director, Vice Chairman
Rico T. Bautista	No. 15 Milano St., Villa Firenze Subdivision, Culiat, Tandang Sora, Quezon City	Filipino	01/08/1973	173-886-802	0.000000601%	N.A.	I, Director, President and CEO

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.